

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
09430/267001

In Re Application Of: William J. HOLLAND

Serial No.  
10/663,287Filing Date  
09/16/2003

Examiner

Group Art Unit  
3672

Title: COMPACT MID-GRIP FASTENER

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

## Certificate of Transmission by Facsimile\*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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Signature

Typed or Printed Name of Person Signing Certificate

## Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on January 23, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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\*This certificate may only be used if paying by deposit account.

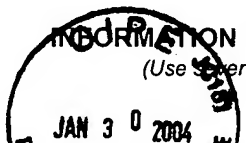
Jeff S. Berg 45,925  
Signature

Dated:

1/26/04

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CC:

 <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p>	ATTY DOCKET NO. <b>09430/267001</b>	SERIAL NO. <b>10/663,287</b>
	<b>William R. HOLLAND</b>	
	FILING <b>09/16/03</b>	GROUP <b>3672</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A1	4,928,531	05/29/1990	Schult, et al.	73	855	04/11/1988
A2	4,786,201	11/22/1988	Huetter, et al.	403	22	10/30/1987
A3	2002/0150444 A1	10/17/2002	Mhaimeed	411	383	04/17/2001
A4	6,408,947 B1	06/25/2002	Cunningham, et al.	166	339	10/09/2000
A5	2002/0025241 A1	02/28/2002	Mizuno, et al.	411	378	08/27/2001
A6	5,681,189	10/28/1997	Dunham	439	738	09/14/1995
A7	4,696,611	09/29/1987	Guay	411	103	04/15/1986

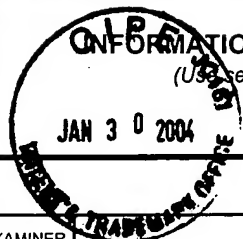
**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B1	G 89 10 965.1	11/22/1990	Germany	G12B	5/00		✓
	B2	DE 197 15 496 C 1	06/25/1998	Germany	EO6B	1/60		✓
	B3	0 523 393 B1	01/20/1993	Germany	F16B	35/04		✓
	B4	EP 0 824 173 A1	02/18/1998	Germany	E04F	13/08		✓
	B5	0 523 393 B1	04/27/1994	Germany	F16B	35/04		✓

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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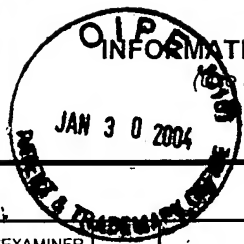
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B6	DE 101 62 910 A 1	11/28/2002	Germany	F16B	37/00		✓
	B7	DE 201 08 026 U 1	09/13/2001	Germany	F16B	39/00		✓
	B8	G 94 05 413.4	07/14/1994	Germany	F16B	13/10		✓
	B9	0 124 054 A 1	11/07/1984	Europe	F16B	35/00		
	B10	EP 1 184 582 A3	03/06/2002	Europe	F16B	35/04		

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	B11	EP 1 184 582 A2	03/06/2002	Europe	F16B	35/04		
	B12	2 240 831 A	08/14/1991	Great Britain	F16B	9/02		
	B13	2 364 109 A	01/16/2002	Great Britain	E06B	3/54		
	B14	1382 236	01/29/1975	Great Britain	B23G	9/00		
	B15	1 558 022	12/19/1979	Great Britain	E05F	11/54		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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